PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of   )
)   Examiner: Unassigned
Douglas B. Moran   )
)
Application No. 09/651,439   )   Art Unit: 2131
)
Filed: August 30, 2000   )
)   May 17, 2001
For: SYSTEM AND METHOD FOR   )
        DETECTING COMPUTER INTRUSIONS)

THIRD REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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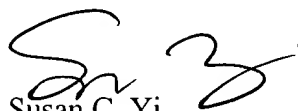
JAN 2 3 2002

Technology Center 2100

Sir:

Enclosed is a copy of the Corrected Filing Receipt for the above-identified patent application. Also enclosed with this request is a copy of page 1 of the above-referenced patent application showing the Cross Reference to Related Applications as filed on August 30, 2000. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the Continuing Data as Claimed by Applicant "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999" to -- "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999 **AND** 09/615,967 07/14/2000" --.

Attorney Docket No. RECOP011

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0685 (Order No. RECOP011).

Respectfully submitted,

Susan C. Yi
Reg. No. 39,883

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4906 El Camino Real
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/651,439	08/30/2000	2131	840	RECOP011	11	17	3

RITTER, VAN PELT & YI LLP

21912
RITTER VAN PELT & YI, L.L.P.
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CORRECTED FILING RECEIPT

OC000000005875561

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Date Mailed: 03/19/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Douglas B. Moran, Palo Alo, CA ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999
AND A CON OF 09/615,967 07/14/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/19/2000

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Title

System and method for detecting computer intrusions

Preliminary Class

713

Data entry by : LOVER, ANTHONY   **Team : OIPE**   **Date: 03/19/2001**

SYSTEM AND METHOD FOR DETECTING COMPUTER INTRUSIONS

CROSS REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Provisional Patent Application No.

5   60/151,531 entitled "SYSTEM AND METHOD FOR PROVIDING COMPUTER

SECURITY" filed August 30, 1999, which is incorporated herein by reference for all

purposes, and to U.S. Patent Application No. 09/615,697 entitled "SYSTEM AND

METHOD FOR COMPUTER SECURITY" filed July 14, 2000, which is incorporated

herein by reference for all purposes.

10   This application is related to co-pending U.S. Patent Application No.

_____ (Attorney Docket No. RECOP012) entitled EXTENSIBLE INTRUSION

DETECTION SYSTEM filed concurrently herewith, which is incorporated herein by

reference for all purposes; and co-pending U.S. Patent Application No. _____

(Attorney Docket No. RECOP013) entitled SYSTEM AND METHOD FOR USING

15   LOGIN CORRELATIONS TO DETECT INTRUSIONS filed concurrently herewith,

which is incorporated herein by reference for all purposes; and co-pending U.S. Patent

Application No. _____ (Attorney Docket No. RECOP014) entitled SYSTEM

AND METHOD FOR USING SIGNATURES TO DETECT COMPUTER

INTRUSIONS filed concurrently herewith, which is incorporated herein by reference for

20   all purposes; and co-pending U.S. Patent Application No. _____ (Attorney

Docket No. RECOP015) entitled SYSTEM AND METHOD FOR ANALYZING

#7/1-24·03
v. Jones

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/651,439	08/30/2000	Douglas B. Moran	RECOP011

CONFIRMATION NO. 2559

21912
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SUITE 205
LOS ALTOS, CA 94022

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OC000000007327196

Date Mailed: 01/17/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, Inventors and Continuity

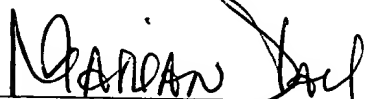In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

❑ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.

❑ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.

❑ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

❑ The docket number allows a maximum of 25 characters.

☑ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).

❑ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.

❑ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.

❑ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Customer Service Center
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A copy of this notice __MUST__ be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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